

**TRANSMITTAL  
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Total Number of Pages in This Submission

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/774,682          |
| Filing Date            | 02/09/2004          |
| First Named Inventor   | RUECKES, et al.     |
| Art Unit               | 2811                |
| Examiner Name          | TBA                 |
| Attorney Docket Number | 112020.129US2 NAN-6 |

| ENCLOSURES (Check all that apply)  |  |  |
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|  | Remarks<br>1. PTO Form 1449<br>2. 2 Publications   |  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|              |  |          |        |
|--------------|--|----------|--------|
| Firm Name    | Wilmer Cutler Pickering Hale and Dorr LLP  |          |        |
| Signature    |  |          |        |
| Printed name | Peter M. Dichiara  |          |        |
| Date         | 01/19/2005   | Reg. No. | 38,005 |

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| Typed or printed name | Tina M. Dougal | Date | 01/19/2005 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: RUECKES, et al.

Application No.: 10/774,682

Filed: February 9, 2004

For: Nanotube Films and Articles

Group Art Unit: 2811

Examiner: To Be Assigned

Attorney Docket No.: 112020.129US2 NAN-6

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

Applicants and their legal representatives hereby make of record on the attached Form PTO-1449 the following publications which are known to them and considered warranting disclosure under 37 C.F.R. §1.56 and 1.97-98.

Copies of the publications listed on the attached Form PTO-1449 are submitted herewith. It is respectfully requested that the Examiner initial and return a copy of the subject Form PTO-1449 with the next Patent Office communication.

The submission of the publications does not constitute a representation by the Applicants that a search has been made or that no better art exists and does not constitute an admission that the listed publications are material or constitute "prior art." Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications, should one or more of the publications be applied against the claims of the present application.

It is respectfully requested that the information above be expressly considered and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

As this paper is being filed prior to the issuance of a first Office Action on the merits, and pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due. In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

Dated: January 19, 2005

  
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| Substitute for Form 1449/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(Use as many sheets as necessary)</i> |   | <b>Complete if Known</b> |                     |
|  |   | Application Number       | 10/774,682          |
|  |   | Filing Date              | February 9, 2004    |
|  |   | First Named Inventor     | RUECKES, et al.     |
|  |   | Art Unit                 | 2811                |
|  |   | Examiner Name            | To Be Assigned      |
| Sheet  | 1 | of                       | 1                   |
|  |   | Attorney Docket Number   | 112020.129US2 NAN-6 |

## **NON PATENT LITERATURE DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

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